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# Singlet pairing in the 2D Hubbard model

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### Abstract

By use of the composite operator method we show that the 2D single-band Hubbard model exhibits superconducting solution. In particular, we consider singlet pairing and we show that both s-wave and d-wave symmetries are possible solutions of the model. Calculations of the order parameters and critical temperatures are presented as functions of the interaction intensity and particle density.

Keywords: Hubbard model; Superconductivity; Singlet pairing

## 1. Introduction

Since the discovery of high- $T_c$  superconducting copper oxides by Bednorz and Müller, many efforts have been devoted to understanding the mechanism and the nature of high- $T_c$  superconductors. It has been believed that a new mechanism is operating in these systems. Even if at present there is no consensus on the symmetry of the order parameter, there is evidence that it is  $d_{x^2-v^2}[1, 2]$  rather than s-wave and this suggests an electronic mechanism for pairing rather than the original BCS phonon-mediated one. In this paper we study the possibility of the superconducting solution of the 2D Hubbard model by means of the composite operator method [3]. In the framework of the static approximation we derive a set of self-consistent equations which give a complete solution of the model. We show that both s-wave and d-wave symmetries are possible solutions of the model.

#### 2. The formalism

A convenient set for the study of the Hubbard model

$$H = \sum_{ij} t_{ij} c^{\dagger}(i) \cdot c(j) + U \sum_{i} n_{\uparrow}(i) n_{\downarrow}(i) - \mu \sum_{i} c^{\dagger}(i) \cdot c(i)$$

is given by the Hubbard operators in the Nambu representation. Therefore, we introduce the doublet composite field

$$\psi(i) = \begin{pmatrix} \xi(i) \\ \eta(i) \end{pmatrix},$$

where

$$\boldsymbol{\xi}\left(i\right) = \begin{pmatrix} \boldsymbol{\xi}_{\uparrow}(i) \\ \boldsymbol{\xi}_{\uparrow}^{\dagger}(i) \end{pmatrix}, \qquad \boldsymbol{\eta}(i) = \begin{pmatrix} \boldsymbol{\eta}_{\uparrow}(i) \\ \boldsymbol{\eta}_{\uparrow}^{\dagger}(i) \end{pmatrix}$$

with

$$\xi_{\sigma}(i) = c_{\sigma}(i) [1 - c_{-\sigma}^{\dagger}(i)c_{-\sigma}(i)]$$

and

$$\eta_{\sigma}(i) = c_{\sigma}(i)c_{-\sigma}^{\dagger}(i)c_{-\sigma}(i).$$

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In the static approximation [4] the Fourier transform  $S(k, \omega)$  of the retarded thermal Green's function

$$S(i,j) = \langle R[\psi(i)\psi^{\dagger}(j)] \rangle$$

is given by

$$S(k, \omega) = \frac{1}{\omega - \varepsilon(k)} l(k)$$

where  $\varepsilon(k) = m(k)l^{-1}(k)$ , l(k) and m(k) are the Fourier transforms of the matrix:

$$l(i,j) = \langle \{\psi(i), \psi^{\dagger}(j)\} \rangle_{E.T.}$$

and

$$m(i,j) = \left\langle \left\{ \mathbf{i} \frac{\partial \psi(i)}{\partial t}, \psi^{\dagger}(j) \right\} \right\rangle_{E.T.}$$

A straightforward calculation gives the expressions of the l(k) and m(k) matrices which contain the following set of parameters:

$$\begin{split} & \Delta \equiv \langle c_{\uparrow}(i)c_{\downarrow}(i) \rangle, \\ & s \equiv \langle \xi_{\downarrow}^{\alpha} \xi_{\downarrow}^{\dagger} \rangle - \langle \eta_{\downarrow} \eta_{\downarrow}^{\dagger} \rangle, \\ & s_{1} \equiv 2 \langle \xi_{\uparrow} \xi_{\downarrow}^{\alpha} \rangle + \langle \xi_{\uparrow} \eta_{\downarrow}^{\alpha} \rangle + \langle \eta_{\uparrow} \xi_{\downarrow}^{\alpha} \rangle, \\ & s_{2} \equiv 2 \langle \eta_{\uparrow} \eta_{\downarrow}^{\alpha} \rangle + \langle \eta_{\uparrow} \xi_{\downarrow}^{\alpha} \rangle + \langle \xi_{\uparrow} \eta_{\downarrow}^{\alpha} \rangle, \\ & p_{ij} = -\langle c_{\uparrow}(i)c_{\downarrow}(i)c_{\downarrow}^{\dagger}(j)c_{\uparrow}^{\dagger}(j) \rangle \\ & + \langle c_{\downarrow}^{\dagger}(i)c_{\downarrow}(i)c_{\downarrow}^{\dagger}(j)c_{\downarrow}(j) \rangle \\ & + \langle c_{\downarrow}^{\dagger}(i)c_{\uparrow}(i)c_{\uparrow}^{\dagger}(j)c_{\downarrow}(j) \rangle, \\ & f_{ij} = \langle c_{\downarrow}^{\dagger}(i)c_{\downarrow}(i)c_{\downarrow}(j)c_{\uparrow}(j), \\ & - \langle c_{\uparrow}^{\dagger}(j)c_{\uparrow}(j)c_{\uparrow}(i)c_{\downarrow}(i) \rangle, \end{split}$$

where operators like  $c^{\alpha}(i)$  denote the operators on the first neighbour sites. By making use of equations of motion and symmetry considerations it is possible to derive a closed set of self-consistent equations both for the case of s- and d-wave symmetry. Referring to a fourthcoming paper for details of computations, in this note we present some results for the order parameter and the critical temperature. In the case of s-wave solution, the order parameter  $\Delta$  is reported in Fig. 1 as a function of the reduced temperature T/t for

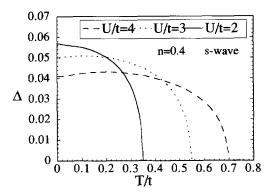


Fig. 1. The order parameter  $\Delta$ , for s-wave solution, as a function of the reduced temperature T/t for U/t = 2, 3, 4. The particle density has been fixed as n = 0.4.

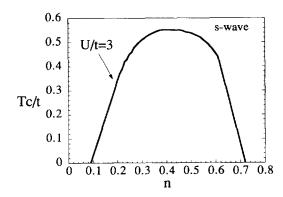


Fig. 2. The reduced critical temperature  $T_c/t$  as a function of n for U/t = 3 in the case of s-wave solution.

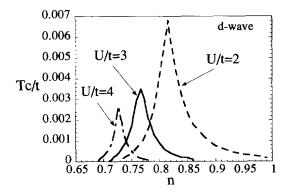


Fig. 3. The reduced critical temperature  $T_c/t$  as a function of n for U/t = 2, 3, 4 for d-wave solution.

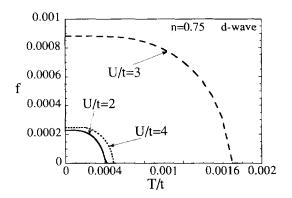


Fig. 4. The order parameter f as a function of the reduced temperature T/t for different values of  $U \setminus t = 2, 3, 4$  and n = 0.75 in the case of d-wave solution.

U/t = 2, 3, 4. The particle density has been fixed as n = 0.4. The reduced critical temperature  $T_c/t$ , as a function of n, is shown in Fig. 2, for the value of U/t = 3. Figs. 1 and 2 show that the s-wave solution of the Hubbard model has a very high unrealistic critical temperature. In the case of d-wave,  $T_c/t$ , reported in Fig. 3, is sharply peaked at an optimum doping depending on the ratio U/t and its values are more reasonable. For d-wave solution

the order parameter is defined by  $f = f_{ij}$  for  $R_i - R_j = (\pm a, 0)$ . This quantity is reported in Fig. 4 as a function of T/t for U/t = 2, 3, 4 and n = 0.75.

In conclusion, in the static approximation, we have obtained a fully self-consistent superconducting solution for the 2D Hubbard-model and we have shown that d-wave superconductivity occurs with a maximum value of  $T_{\rm c}$  in the range of 20–70 K for different values of the Coulomb interaction.

# Ackowledgements

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